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OFFICE (MODIFIED)

APS HEC OPULIFIU 11 MAY 2008

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

☐FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER X16154

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

10/578991

INTERNATIONAL APPLICATION NO. PCT/US2004/035527

INTERNATIONAL FILING DATE 16 Nov 2004 (16.11.2004) PRIORITY DATE CLAIMED 20 Nov 2003 (20.11.2003)

		(101111200)					
TITLE	OF I	NVENTION: PHENYL-FURAN COMPOUNDS AS VITAMIN D RECEPTOR MODULATORS					
		Γ(S) FOR DO/EO/US: GAJEWSKI Robert Peter, JONES Charles David, LU Jianliang, MA Tianwei, NAGPAL Sunil, wong, , , ,					
Applica	ant he	rewith submits to the U.S. Designated/Elected Office (DO/EO/US) the following items and other information:					
1.	X	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.					
2.	Ш	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.					
3.		This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay					
	$\Box$	examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).					
4.	X	The US has been elected (Article 31).					
5.	X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))					
		a. is transmitted herewith (required only if not transmitted by the International Bureau).					
		b. has been transmitted by the International Bureau.					
		c. X is not required, as the application was filed in the United States Receiving Office (RO/US).					
6.	Н	A translation of the International Application into English (35 U.S.C. 371(c)(2)).					
7.	X	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))					
		are transmitted herewith (required only if not transmitted by the International Bureau).					
		b. have been transmitted by the International Bureau.					
		c. have not been made; however, the time limit for making such amendments has NOT expired.					
		d. X have not been made and will not be made.					
8.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).					
10.	X	A declaration of the inventors 35 U.S.C. 371(c)(4) was provided in the PCT Request Form.					
11.		A copy of the International Preliminary Examination Report (IPER), including any annexes, and, if not in English, an					
English language translation of the annexes to the IPER under PCT Article 36 (35 U.S.C. 371(c)(5)).							
12.	X	18. below concern document(s) or information included:  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  X Search Report/Written Opinion (ISA/EP)					
13.	х	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
14.		Assignment has been recorded at reel, frame					
15.	X	A FIRST preliminary amendment.					
		A SECOND or SUBSEQUENT preliminary amendment.					
16.		A Sequence Listing, 1.821 Statement, and diskette.					
17.	Х	A change of power of attorney with attachment.					
18.	X	Other items or information:					
		Please amend the first page of the specification by inserting the following cross-reference after the title: "This is the national phase application, under 35 USC 371, for PCT/US2004/035527, filed 16 November 2004, which, claims the benefit, under 35 USC 119(e), of US provisional application 60/524,015, filed 20 November 2003."					

[PAGE 1 OF 2]

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## IAP20 Rec'EFSTATIO 11 MAY 2006.

U.S. APP	PLICATION NO. (if kno	O.	ATTORNEY'S DOCKET NUMBER X16154					
19.		g fees are submitted:			CA	LCULATIONS 1	TO USE ONLY	
(a)	Basic national fee (37 CFR 1.492(a))					300.00		
(b)	Examination fee (3							
	If the written opinion examination report provisions of PCT	\$2	\$200.00					
	All other situations							
(c)	Search fee (37 CFF If the written opini- examination report provisions of PCT	\$4	400.00					
		R 1.445(a)(2)) has been g JSPTO as an Internation						
	International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400							
	All other situations	<u></u>	······	\$500	_			
			TOTAL AMOUN	T(a+b+c) =	<del>-</del>	00.00		
		furnishing the oath or priority date (37 CFR	declaration later than 30 r	nonths	\$			
HOIII U	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total c	laims	16-20=	0	X \$50.00	\$			
	ndent claims	2-3=		X \$200.00	Ψ			
MULT	IPLE DEPENDEN	T CLAIM(S) (if appl	1	+ \$360.00	1 *			
		: \$						
		g by small entity, if ap 7 CFR 1.9, 1.27, 1.28	oplicable. Verified Small I	Entity Statement	\$	1		
must an	iso be med (Note 3	\$9	00.00					
	sing fee of \$130.00 ne earliest claimed	\$						
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	recording the encl panied by an appro	\$						
	18 COL 18 COL		TOTAL FEES	per property + ENCLOSED =	: \$9	00.00		
						Amount to be refunded	\$	
						charged	\$	
a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No. 05-0840 in the amount of \$900.00 to cover the above fees. A duplicate copy of this sheet is enclosed.								
c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-0840. A duplicate copy of this sheet is enclosed.								
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDENCE TO: ELI LILLY AND COMPANY PATENT DIVISION P.O. BOX 6288 INDIANAPOLIS, INDIANA 46206-6288  IMAGE 2896						<b>25885</b>		
Date  42,021  REGISTRATION NUMBER  7  317-276-0755  TELEPHONE NUMBER						PATENT TRADEMARK		

## IN THE UNITED STATES RECEIVING OFFICE (USRO)

Applicant(s): Robert Peter GAJEWSKI,

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anational Analisation No. - BOT

International Application No.: PCT/US2004/035527

Filed: 16 November 2004 (16.11.2004)

Invention: 'PHENYL-FURAN COMPOUNDS AS VITAMIN D RECEPTOR MODULATORS

Lilly Reference: X-16154

Earliest Priority Date: 20 November 2003 (20.11.2003)

## Certificate Under 37 C.F.R. § 1.10

Attention: DO/EO
Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

"Express Mail" mailing label number: <u>EV 393/28291 US</u>

Date of Deposit: 5-11-06

I hereby certify that the following attached paper or fee

Transmittal Letter to the United States Designated/Elected Office (US) concerning a filing under 35 U.S.C. 371 of the International Application identified above is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

(Typed or panted name of person mailing paper)

(Signature person mailing paper or fee)

**20/578991** (AP20 Res'd PCT/PTO 11 MAY 2006

PTO/SB/96 (8-96) (MODIFIED)
Approved for use through 9/30/98, OMB 0651-0027
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## **CERTIFICATE UNDER 37 CFR 3.73(b)**

	CERTIFICATE UNDER 37 CFR 3.73(b)
First Applica	nt: GAJEWSKI Robert Peter
Serial No.: F	PCT/US2004/035527
Application I	Date: November 16, 2004
US Nat'l Ent	ry Date:
Entitled: PH	ENYL-FURAN COMPOUNDS AS VITAMIN D RECEPTOR MODULATORS
ELI LILLY A	AND COMPANY, an Indiana Corporation (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)
certifies that it i either:	s the assignee of the entire right, title and interest in the patent application identified above by virtue of
A. [X] An as	ssignment from the inventor(s) of the patent application identified above.
	e assignment was recorded in the Patent and Trademark Office at Reel, Frame. The assignment is being submitted separately for recordation; a copy of this assignment is attached.
	OR
B. [ ] A chain below:	of title from the inventor(s), of the patent application identified above, to the current assignee as shown
1.	From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2.	From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3.	From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
[ ]	Additional documents in the chain of title are listed on a supplemental sheet.
[ ] Copies of as	ssignments or other documents in the chain of title are attached.
The undersigned	d (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.
information and false statements	that all statements made herein of my own knowledge are true, and that all statements made on belief are believed to be true; and further, that these statements are made with the knowledge that willful, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the ode, and that such willful false statements may jeopardize the validity of the application or any patent
//	James B. Myus  James B. Myers  Patent Counsel

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450